

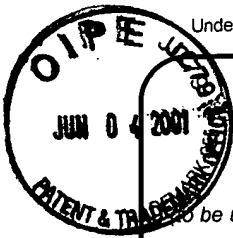
Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

to be used for all correspondence after initial filing)

Application Number	09/686,020
Filing Date	October 10, 2000
First Named Inventor	Gosling, Jennifa, et. al.
Group Art Unit	1614
Examiner Name	
Attorney Docket Number	19934000710

Total Number of Pages in This Submission

1

### ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☒ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

**Declaration and Power of Attorney Request for Corrected Filing Receipt**

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name

Townsend and Townsend and Crew LLP  
Scott L. Ausenhus

Reg No. 42,271

Signature

*Scott Ausenhus*

Date

June 4, 2001

EL801719373US

### CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EL801719373US addressed to: Box Missing Parts Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: June 4, 2001

Typed or printed name

Maisie C. Livengood

Signature

*Maisie C. Livengood*

Date

June 4, 2001

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DE 7041903 v1

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number	09/686,020
Filing Date	October 10, 2000
First Named Inventor	Gosling, Jennifa, et. al.
Examiner Name	
Group Art Unit	1614
Attorney Docket No.	19934000710

TOTAL AMOUNT OF PAYMENT (\$) 1419

## METHOD OF PAYMENT

☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

20-1430

Townsend and Townsend and Crew LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$355)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
36	-20**	16	\$9 = \$144
7	-3**	4	\$40 = \$160
Multiple Dependent			

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$304)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	695
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$760)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Scott L. Aussenhus	Registration No. (Attorney/Agent)	42,271	Telephone	303-571-4000
Signature				Date	June 4, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/686,020	10/10/2000	Jennifa Gosling	19934-000710US

20350  
TOWNSEND AND TOWNSEND AND CREW  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834



## FORMALITIES LETTER



\*OC000000005596046\*

Date Mailed: 12/04/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$608.
  - \$288 for 16 total claims over 20.
  - \$320 for 4 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is missing.
- The balance due by applicant is \$ 1448.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

01/07/2001 12:00:00 00000014 201430 00000000  
01 01 01 01 01 01 01 01 01 01 01 01 01 01 01 01  
01 01 01 01 01 01 01 01 01 01 01 01 01 01 01 01  
01 01 01 01 01 01 01 01 01 01 01 01 01 01 01 01  
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PART 2 - COPY TO BE RETURNED WITH RESPONSE

Express Mail® Label No. EL 801719373 US  
Date of Deposit: June 4, 2001

PATENT

Attorney Docket No.: 019934-000710US

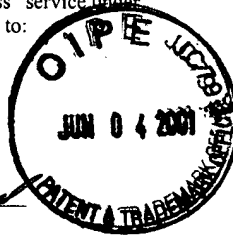
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Address" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

TOWNSEND and TOWNSEND and CREW LLP

By:

*Maisie C. Livengood*  
Maisie C. Livengood



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gosling et al.

Application No.: 09/686,020

Filed: October 10, 2000

For: CHEMOKINE RECEPTOR

Examiner:

Art Unit: 1614

REQUEST FOR CORRECTED FILING  
RECEIPT

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt, with a mailing date of December 4, 2000, which was received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested. The requested corrections are shown in red ink.

There is an error in that this application claims priority from each of the listed provisional applications, the provisional applications do not claim priority from each other. In each line, the word "WHICH" should be replaced with the word "AND". The Continuing Data should be listed as follows:

THIS APPLN CLAIMS BENEFIT OF 60/159,015 10/12/1999  
AND CLAIMS BENEFIT OF 60/159,210 10/13/1999  
AND CLAIMS BENEFIT OF 60/172,979 12/20/1999  
AND CLAIMS BENEFIT OF 60/173,388 12/28/1999  
AND CLAIMS BENEFIT OF 60/186,626 03/03/2000

Attorney Docket No.: 019934-000710US  
Application No.: 09/686,020  
Page 2

PATENT

Respectfully submitted,



Scott L. Ausenhus  
Reg. No. 42,271

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
Tel: (303) 571-4000  
Fax: (303) 571-4321  
SLA/mcl  
DE 7041916 v1



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/686,020	10/10/2000	1614	0	19934-000710US	9	36	7

20350

TOWNSEND AND TOWNSEND AND CREW  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834



## FILING RECEIPT



\*OC000000005596045\*

Date Mailed: 12/04/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jennifa Gosling, San Francisco, CA ;  
Daniel J. Dairaghi, Palo Alto, CA ;  
Michael Hanley, Corte Madera, CA ;  
Zhenhua Miao, San Jose, CA ;  
Thomas J. Schall, Palo Alto, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/159,015 10/12/1999  
~~AND WHICH~~ CLAIMS BENEFIT OF 60/159,210 10/13/1999  
~~AND WHICH~~ CLAIMS BENEFIT OF 60/172,979 12/20/1999  
~~AND WHICH~~ CLAIMS BENEFIT OF 60/173,388 12/28/1999  
~~AND WHICH~~ CLAIMS BENEFIT OF 60/186,626 03/03/2000

## Foreign Applications

If Required, Foreign Filing License Granted 12/04/2000

## Title

Chemokine receptor

## Preliminary Class

514

TOWNSEND & TOWNSEND  
CREW  
00 DEC 11 AM 11:00  
RECEIVED

Data entry by : BALL, ROSALIND

Team : OIPE

Date: 12/04/2000





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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

